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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

*be used for all correspondence after initial filing)*

Application Number	10/626,984
Filing Date	July 25, 2003
First Named Inventor	Kawai, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number
	013.0207.US.UTL

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
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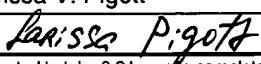
## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Law Offices of Patrick J.S. Inouye
Signature	
Date	October 28, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop IDS, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kawai, et al.

Serial No.: 10/626,984

Filed: July 25, 2003

Title: System And Method For Performing  
Efficient Document Scoring And  
Clustering

Attorney Docket No.: 013.0207.US.UTL

Commissioner for Patents  
Alexandria, VA 22313-1450

Group Art Unit: Unassigned

Examiner: Unassigned

**INFORMATION DISCLOSURE STATEMENT**



22895

PATENT TRADEMARK OFFICE

Dear Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or
  - (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
  - Statement under 37 CFR 1.97(e), or
  - a \$180.00 fee under 37 CFR 1.17(p), or
    - (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
  - Statement under 37 CFR 1.97(e), and
  - a \$180.00 fee set forth in 37 CFR 1.17(p).
    - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

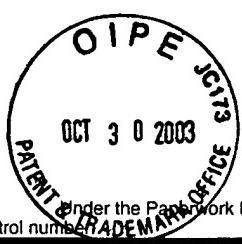


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Patrick J.S. Inouye  
Attorney/Agent for Applicant(s)  
Reg. No. 40297

Date: October 28, 2003

Telephone No.: (206) 381-3900



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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/626,984
				Filing Date	July 25, 2003
				First Named Inventor	Kawai, et al.
				Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	013.0207.US.UTL

#### **U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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**Substitute for form 1449B/PTO**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*(use as many sheets as necessary)*

Sheet 2 of 2

<i><b>Complete if Known</b></i>	
<b>Application Number</b>	10/626,984
<b>Filing Date</b>	July 25, 2003
<b>First Named Inventor</b>	Kawai, et al.
<b>Group Art Unit</b>	Unassigned
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	013.0207.US.UTL

## **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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